



# Advisor.Investments

## ADVISOR.INVESTMENTS PRIVACY NOTICE

Advisor.Investments maintains physical, electronic, and procedural safeguards that comply with federal standards to protect its clients' nonpublic personal information ("information"). Through this policy and its underlying procedures, Advisor.Investments attempts to secure the confidentiality of customer records and information and protect against anticipated threats or hazards to the security or integrity of customer records and information.

It is the policy of Advisor.Investments to restrict access to and/or the sharing of all current and former clients' information (i.e., information and records pertaining to personal background [including social security number and address], investment objectives, financial situation, financial planning issues, tax information/returns, investment holdings, account numbers, account balances, etc.) to those employees and affiliated/nonaffiliated entities who need to know that information in furtherance of the client's engagement of Advisor.Investments.

Advisor.Investments shall disclose, as necessary, the client's information: (1) to unaffiliated service providers and vendors in furtherance of establishing, maintaining, and reporting on the client's Advisor.Investments relationship (i.e., broker-dealer, account custodian, record keeper, technology, performance reporting, customer relationship management software [CRM], proxy voting, insurance, independent managers, sub-advisers, etc.); (2) required to do so by judicial or regulatory process; or (3) otherwise permitted to do so in accordance with applicable federal and/or state privacy regulations.

*Main Office and Mailing Address: 4870 Bluebonnet Blvd., Suite B, Baton Rouge, LA 70809*

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Please remember to contact Advisor.Investments, **in writing**, if there are any changes in your personal/financial situation or investment objectives for the purpose of reviewing/evaluating/revising our previous recommendations and/or services, or if you want to impose, add, to modify any reasonable restrictions to our investment advisory services, or if you wish to direct that Advisor.Investments effect any specific transactions for your account. A copy of our current written disclosure statement discussing our advisory services and fees continues to remain available for your review upon request.

However, Advisor.Investments does not, and shall not, disclose or share information with any affiliated or nonaffiliated persons, entities or service providers for marketing or any other purposes or reasons not referenced above. No mobile information will be shared with third parties/affiliates for marketing/promotional purposes. All the above categories exclude text messaging originator opt-in data and consent; this information will not be shared with any third parties

- **How we Collect Data** comes from personal information you elect to provide and submit to us via website or during a subsequent personal meeting.
- **We use this information** we offer to provide you (prospective clients) a personal review of our services should you elect and/or providing you with materials you have requested. In addition, and from time to time, Advisor.Investments may contact you via email for the purposes of responding to your communications to us and/or providing announcements, updates, alerts, confirmations, surveys, newsletters, and/or general communication, if you have given us your email address.
- **Terms and Conditions** Please note that: Message frequency varies per user, message and data rates may apply, Text HELP for help, Text STOP to unsubscribe, Carriers are not liable for delayed or undelivered messages. We reserve the right to modify or supplement this Privacy Policy statement at any time. If we make any material change, we will update our website to include such changes. You are responsible for periodically visiting our website and this privacy policy to check for any changes.

**ANY QUESTIONS OR CONCERNS:** Should you have any questions regarding the above, please contact Shane Haag, Chief Compliance Officer.